IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gary Katz

Serial No.: 10/771,993

Filed: February 3, 2004

For: AUTOMATED EXCHANGE FOR TRADING OF

COMPLEX ORDERS

Examiner: Campbell, Kellie L.

Art Unit: 3691

Confirmation No.: 3573

745 Fifth Avenue New York, NY 10151

CERTIFICATE OF ELECTRONIC FILING

I hereby certify that this correspondence is being transmitted via Electronic Filing Services on

Cassandar Forster
(Name of person signing transmittal)

Signature of person malling paper or fee)

March 12, 2010
Date of Signature

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97 (b)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant is filing this Information Disclosure Statement and the accompanying form PTO-1449 along with copies of the prior art therein identified. So far as applicant is aware, this Information Disclosure

PATENT 245001-2000.2

Statement is being filed before the mailing date of the first Office Action on the merits following the Request for Continued Examination on January 28, 2010.

The filing of this Information Disclosure Statement is not an admission that the documents identified herein constitute prior art to the present application.

Please charge any fees incurred by the filing of this document or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP

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